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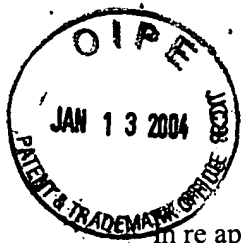
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01-14-04

Receipt  
PATENT  
030675

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Woodruff et al.

Serial No. 10/679,071

Filed: October 3, 2003

:  
:  
ZERO PREMIUM EQUITY  
PARTICIPATING SECURITIES  
:  
:  
Art Unit: 3621  
:REQUEST FOR CORRECTED FILING RECEIPTOffice of Initial Patent Examination (OIPE)  
Filing Receipt Corrections  
Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

Sir:

A filing receipt for the above-referenced application has been received. The filing receipt, however, incorrectly lists the filing date as October 2, 2003. The correct filing date is October 3, 2003. Therefore, Applicants respectfully request a corrected filing receipt.

A copy of receipt indicating the date of October 3, 2003 form PTO/SB/05 (Utility Patent Application Transmittal) is enclosed as Exhibit A indicating that the application was mailed October 3, 2003. Enclosed as Exhibit B is a Return Receipt Postcard (MPEP § 503) from the Patent Office indicating that the application was filed on October 3, 2003. A copy of the filing receipt marked with the appropriate correction is also enclosed, as Exhibit C.

A representative of the Office of Initial Patent Examination is invited to contact the undersigned to resolve any outstanding questions regarding this matter.

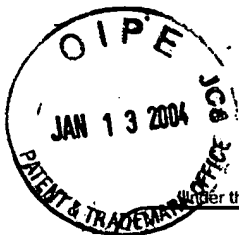
Date: Jan 13, 2004

Respectfully submitted,

Mark G. Knedeisen  
Mark G. Knedeisen  
Registration No. 42,747KIRKPATRICK & LOCKHART, LLP  
Henry W. Oliver Building  
535 Smithfield Street  
Pittsburgh, Pennsylvania 15222  
Ph. (412) 355-6342  
Fax (412) 355-6501"Express Mail" mailing label number EU150829518US  
Date of Deposit January 13, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Office of Initial Patent Examination (OIPE), Filing Receipt Corrections, Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

John W. Keltner



PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

030675

First Inventor

K. Woodruff

Title

ZERO PREMIUM ....

Express Mail Label No.

EU990164407US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 26]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Sheets 3]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ Paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [ ] Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure [x] Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: .....

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

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Correspondence address below

Name

Address

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State

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Telephone

Fax

Name (Print/Type)

Mark G. Knedeisen

Registration No. (Attorney/Agent)

42,747

Signature

Date

10/3/03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

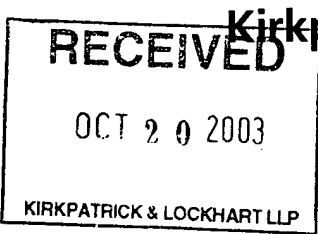
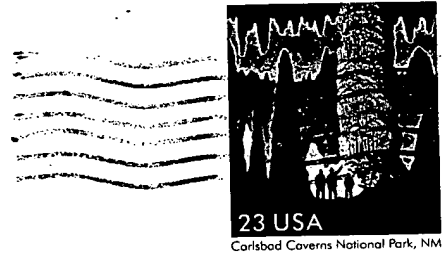
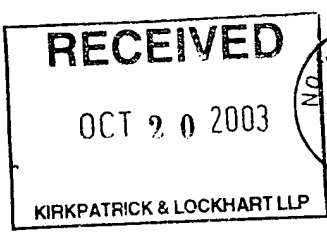
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S.N. 03675 Docket No. 03675  
Title: Zero Premium...

The PTO acknowledges, and has stamped hereon,  
the date of receipt of the below items, which were  
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- ☒ New Pat. Appl. Tran.  
Utility, Design, CPA, PROV)
  - ☒ Fee Transmittal (2x)
  - ☐ Transmittal Letter
  - ☐ PCT Request and fee calc. sheet
  - ☐ PCT Chapter 2 Demand
  - ☒ Specification
  - ☒ 7 sheets of informal drawings
  - ☒ Maint. Fee Transmittal
  - ☒ Oath/App. of Agent
  - ☒ Assignment PTO Form-1595 (2x)
  - ☐ Amendment Transmittal (2x)
  - ☐ Amendment
  - ☐ 3.73 Statement
  - ☒ Terminal Disclaimer
  - ☒ Declaration(s)
  - ☒ Information Disclosure Statement
  - ☒ Form PTO SB 98A
  - ☒ References
  - ☐ REC Transmittal
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  - ☐ Issue Fee
  - ☐ PTO Forms 85(b) (2x)
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**Kirkpatrick & Lockhart LLP**

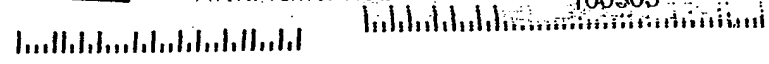
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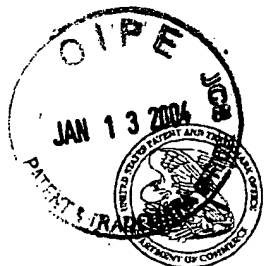
00727 U.S. PTO  
10/679071



ATTN: Terri J. Watson

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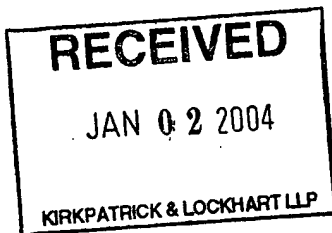


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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/679,071	<del>10/02/2003</del> 10/03/2003	3621	1320	030675	7	41	5

26285  
 KIRKPATRICK & LOCKHART LLP  
 535 SMITHFIELD STREET  
 PITTSBURGH, PA 15222



CONFIRMATION NO. 5914

## FILING RECEIPT



\*OC000000011590300\*

Date Mailed: 12/31/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kevin G. Woodruff, New York, NY;  
 Serkan Savasoglu, New York, NY;  
 Nathan N. McMurtray, New York, NY;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 12/30/2003

Projected Publication Date: 04/07/2005

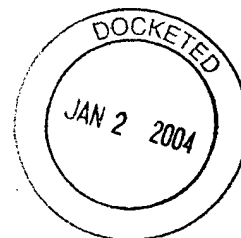
Non-Publication Request: No

Early Publication Request: No

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